



PATENT
Attorney Docket No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. Balaban

Serial No: 09/697,877

Filing Date: October 26, 2000

Title: **METHODS FOR MONITORING
THE EXPRESSION OF ALTERNATIVELY
SPLICED GENES**

Examiner: Unknown

Group Art Unit: 1645

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Commissioner for Patents
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Dated: March 26, 2001

Respectfully submitted,



Wei Zhou
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GAU 1645
2004-03-01

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/697,877
		Filing Date	October 26, 2000
		First Named Inventor	David A. Balaban
		Group Art Unit	1645
		Examiner Name	Unknown
Total Number of Pages in This Submission	3	Attorney Docket Number	3330.2

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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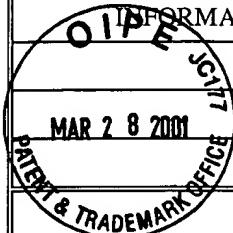
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wei Zhou, Reg. No. 44,419
Signature	
Date	March 26, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 26, 2001			
Typed or printed name	Jeanne Bower		
Signature		Date	March 26, 2001

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U.S. Department of Commerce, Patent and Trademark Office		Atty Docket No.	Serial No.
		3330.2	09/697,787
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant:	
		David A. Balaban	
		Filing Date	Group
		October 26, 2000	1645



U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,800,992	09/01/98	Fodor et al.	435	6	
	AB	6,040,138	03/21/00	Lockhart et al.	435	6	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents

Translation

		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	
	AR	
	AS	

Examiner Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.